

**Investment Advisor ("IA") Information (This portion to be completed by IA.)**

IA Firm Name (please print): \_\_\_\_\_  
 IA Master Account Number: \_\_\_\_\_ Service Team: \_\_\_\_\_  
 Advisor Contact Information (if follow-up is required): \_\_\_\_\_

• This form is appropriate for transfers between identically registered CRA or IRA account combinations. This form cannot be used to request wires from Custodial, 529 Plan, Education Savings, IRA (excluding IRA combinations), 403(b)(7), Individual 401(k) and Qualified Retirement Plan (QRP) accounts. Please contact your IA for the appropriate form.

**1. One-Time or Standing Instructions** (Select one.)

- One-time
- Standing Instructions Authorization (optional—IA firm must be named above): Please initial below only if you want your IA to initiate distributions on your behalf. All Schwab account holders must initial below. (An "X" is not sufficient.) You may revoke this authorization by notifying Schwab. The following account registrations are not eligible for Standing Instructions for this form: Estate, Conservatorship, Testamentary Trust or Guardianship.

Account Holder/ Trustee/Custodian/ Executor	Add'l Account Holder/ Co-Trustee/ Co-Custodian/ Co-Executor	Add'l Account Holder/ Co-Trustee/ Co-Custodian/ Co-Executor
---	--	--

**Standing Instructions:** I authorize Schwab to accept instructions from my IA to transfer funds from this account to the receiving bank designated in Section 3. I acknowledge that this authority is effective regardless of any differences in registration between these two accounts. I agree to indemnify and hold harmless Schwab, its affiliates and their directors, officers, employees and agents from and against all claims, actions, costs and liabilities, including attorneys' fees, arising out of or relating to: (1) their reliance on these Standing Instructions and (2) Schwab's execution of my IA's instructions.

**2. Schwab Account Information**

Account Holder Name(s) (as they appear on your statement) \_\_\_\_\_ Schwab Account Number \_\_\_\_\_  
 If this account has the margin feature, can the wire be sent on margin?  Yes  No (If no selection is made, the wire can be sent on margin.)

**3. Receiving Bank Information** The wire transfer fee is \$25.

Amount to Be Wired (in U.S. dollars) \_\_\_\_\_ Amount to Be Wired (in foreign currency) \_\_\_\_\_ Foreign Currency Type \_\_\_\_\_  
 Receiving Bank Name \_\_\_\_\_ Receiving Bank Phone Number \_\_\_\_\_ If Foreign Wire, Swift Code and/or Routing Number of Receiving Bank \_\_\_\_\_  
 Receiving Bank Routing Number (ABA or SWIFT/BIC code) \_\_\_\_\_ Receiving Bank Address (required for foreign bank) \_\_\_\_\_  
 Account Number at Receiving Bank \_\_\_\_\_ Name(s) on Receiving Bank Account (registration) \_\_\_\_\_  
 Address of Account Holder at Receiving Bank if Different from Schwab Account Registration (example: brokerage firm/escrow company) \_\_\_\_\_  
 Further Credit/Escrow Account Number (if applicable) \_\_\_\_\_ Escrow Company Phone Number \_\_\_\_\_  
 Name of Final Beneficiary (if applicable) \_\_\_\_\_ Address of Final Beneficiary (required if final beneficiary is different from Schwab account registration) \_\_\_\_\_

**4. Please Read, Sign and Date**

I authorize Charles Schwab & Co., Inc. ("Schwab") to wire funds from my Schwab account pursuant to the preceding instructions. I understand that wiring funds outside of the U.S. may take three to five business days. For Standing Instructions only (all account holders must sign and date): I understand that Schwab may accept future requests to wire funds from my account according to the preceding instructions. Such requests may be made by any person having signing authority with respect to my Schwab account and may be delivered orally, in person or by telephone, or may be transmitted by facsimile or delivered in a signed writing.

_____ Signature: Account Holder/Trustee/Custodian/Executor	 Print Name _____	 Date _____ (mm/dd/yyyy)
_____ Signature: Additional Account Holder/Co-Trustee/Co-Custodian/Co-Executor	 Print Name _____	 Date _____ (mm/dd/yyyy)
_____ Signature: Additional Account Holder/Co-Trustee/Co-Custodian/Co-Executor	 Print Name _____	 Date _____ (mm/dd/yyyy)
_____ Signature: Investment Advisor (if applicable)	 Print Name _____	 Date _____ (mm/dd/yyyy)

**Note: Investment Advisor may sign only if the account holder has granted disbursement authority AND there is an account at the receiving institution whose registration is IDENTICAL to the Schwab account registration.**



## 5. Examples

**Wire Transfer to Bank:** The account registration at the receiving bank is identical to the Schwab account registration. If the account holder has granted disbursement authority to the IA, then the IA can sign on behalf of the account holder.

3. Receiving Bank Information		
<b>\$10,000</b>		
Amount to Be Wired (in U.S. dollars)	Amount to Be Wired (in foreign currency)	Foreign Currency Type
<b>BANK USA NA</b>	<b>(123) 456-7899</b>	
Receiving Bank Name	Receiving Bank Phone Number	If Foreign Wire, Swift Code and/or Routing Number of Receiving Bank
<b>101000101</b>		
Receiving Bank Routing Number (ABA or SWIFT/BIC Code)	Receiving Bank Address (required for foreign bank)	
<b>23456</b>	<b>JANE B. SAMPLE</b>	
Account Number at Receiving Bank	Name(s) on Receiving Bank Account (registration)	

**Wire Transfer to Brokerage Company:** For wires to brokerage companies, the IA can sign on behalf of the account holder only if the account holder has granted disbursement authority to the IA AND the registration of the account at the brokerage company is IDENTICAL to the Schwab account registration. For any wires to escrow companies, the account holder must sign the Wire Transfer Letter of Authorization.

3. Receiving Bank Information		
<b>\$10,000</b>		
Amount to Be Wired (in U.S. dollars)	Amount to Be Wired (in foreign currency)	Foreign Currency Type
<b>BANK USA NA</b>	<b>(123) 456-7899</b>	
Receiving Bank Name	Receiving Bank Phone Number	If Foreign Wire, Swift Code and/or Routing Number of Receiving Bank
<b>101000101</b>		
Receiving Bank Routing Number (ABA or SWIFT/BIC Code)	Receiving Bank Address (required for foreign bank)	
<b>45678</b>	<b>BROKERAGE COMPANY USA</b>	
Account Number at Receiving Bank	Name(s) on Receiving Bank Account (registration)	
<b>201 MAIN STREET, SAN FRANCISCO, CA 94115</b>	← <b>Address of Brokerage Company USA</b>	
Address of Account Holder at Receiving Bank if Different from Schwab Account Registration (example: brokerage firm/escrow company)		
<b>ZZ1234</b>		
Further Credit/Escrow Account Number (if applicable)	Escrow Company Phone Number	
<b>JANE B. SAMPLE</b>		
Name of Final Beneficiary (if applicable)	Address of Final Beneficiary (required if final beneficiary is different from Schwab account registration)	

**Wire Transfer to Third Party:** The account registration at the receiving bank is different from the Schwab account registration. The address of the account holder at the receiving bank is required. **Note:** The IA cannot sign on behalf of the account holder unless the client has authorized the IA to initiate this transfer by completing and initialing the Standing Instructions Authorization in Section 1.

3. Receiving Bank Information		
<b>\$10,000</b>		
Amount to Be Wired (in U.S. dollars)	Amount to Be Wired (in foreign currency)	Foreign Currency Type
<b>BANK USA NA</b>	<b>(123) 456-7899</b>	
Receiving Bank Name	Receiving Bank Phone Number	If Foreign Wire, Swift Code and/or Routing Number of Receiving Bank
<b>101000101</b>		
Receiving Bank Routing Number (ABA or SWIFT/BIC Code)	Receiving Bank Address (required for foreign bank)	
<b>98765</b>	<b>JOHN Q. DOE</b>	
Account Number at Receiving Bank	Name(s) on Receiving Bank Account (registration)	
<b>1234 S. WILLOW DRIVE SAN FRANCISCO, CA 94115</b>	← <b>Address of John Q. Doe</b>	
Address of Account Holder at Receiving Bank if Different from Schwab Account Registration (example: brokerage firm/escrow company)		